

U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre 1155 21st Street, NW, Washington, DC 20581

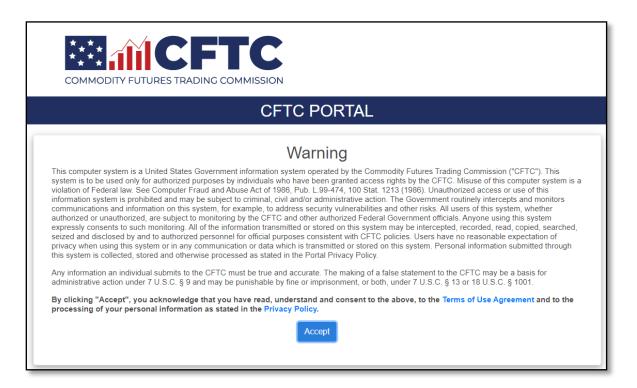
Register for a Large Trader (LTR) CFTC Portal Account

This document provides an overview of the steps needed to register Large Trader (LTR) CFTC Portal account.

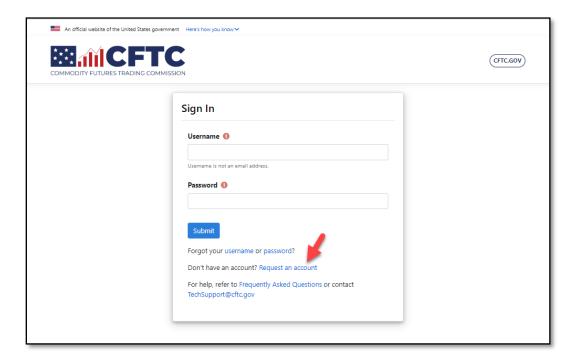
CFTC does not permit the sharing of Portal accounts. Each user must have an individual account using a first and last name. Please allow up to two business days for new account requests to be reviewed. Contact TechSupport@cftc.gov if you have any questions.

- 1. A CFTC code number will be required in order to complete a new account request. If the organization has previously submitted a Form 40 to CFTC, the organization was assigned a 9-digit code number. If the code number cannot be located, please contact OCR Technical Support at OCRTechSupport@CFTC.gov and provide the Special Account ID or the Consolidated Account ID as well as the Firm that reported that code to the Commission. Alternatively, when the organization was prompted to submit Form 40, an email was sent that included details of the Form 40 request along with instructions for registering for an LTR account as well as the 9-digit code.
- 2. Select the CFTC Portal Sign In URL: https://portal.cftc.gov.

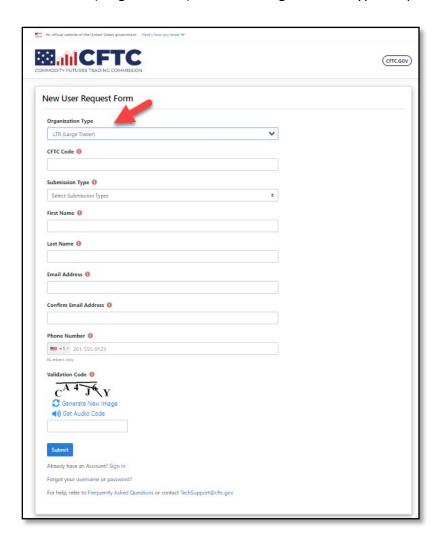
3. Read the CFTC Portal Terms of Use Agreement. To access the Portal, it is necessary to accept the Terms of Use Agreement by selecting [Accept].



4. On the CFTC Portal "Sign In" page, select the "Request an account" link.

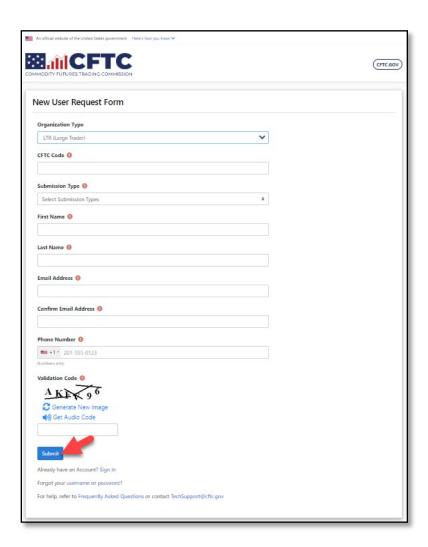


5. Select "LTR (Large Traders)" from the Organization Type dropdown menu.

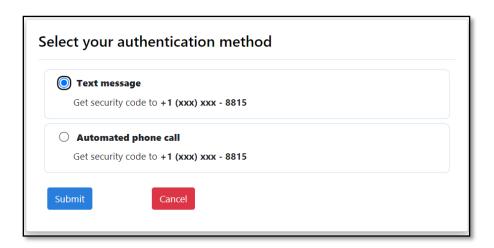


- 6. Enter the CFTC Code Number.
- 7. Select the Submission Type.
- 8. Complete the form using your First Name, Last Name, Business Email Address and Phone Number.
- 9. Please use an individual/non-shared email address. Shared email addresses can cause a registration request to be denied.
- 10. Choose the appropriate country code and enter a valid phone number . The Portal does not support phone numbers with an extension.

11. Enter the correct Validation Code into the text box and select Submit.



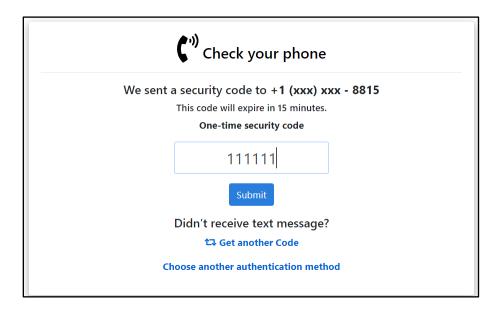
12. Select the authentication method to begin the phone number validation step. In this example we chose Text message.



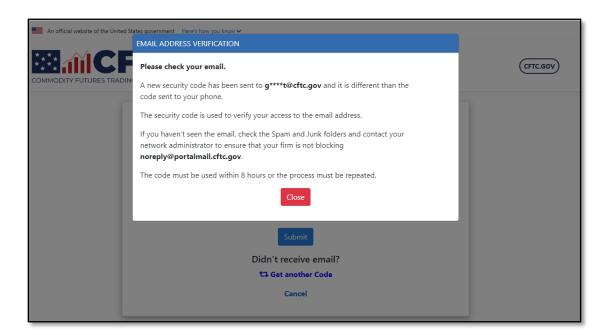
13. After choosing Send Security Code, the Portal will send a text message or voice call with a six-digit code to the phone number listed on the new registration form. Check the mobile device for the code. In this example the code is 111111.



14. Enter the code into the text box and click Submit.

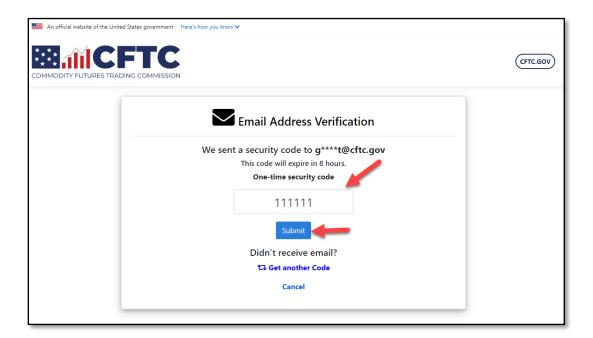


15. When the user selects "Submit" a pop-up box will display 'Email Address Verification', and a new security code will be emailed to the registered email address to authenticate the request. Select "Close" to enter the email verification code.

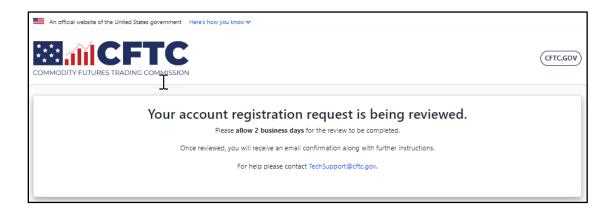


16. When the user opens the email from CFTC, it will have a six-digit code. Enter this code into the textbox. You can copy and paste the code from the email into the textbox. Click Submit when done.

Note: The Email Code will expire within eight (8) hours of receipt of the message. If the code has expired, the process must be repeated.



17. Once successfully authenticated, the page will be redirected to the browser and it will display the message "Your account registration request is being reviewed".



18. At this point in the process, it may be necessary to wait for up to two business days for account approval by the CFTC business team.

If the account request is approved, an email similar to the one below with the assigned username and instructions for setting a password will be sent. If you do not receive an email, please check your Junk or Spam folders. If you do not see the message in the Junk or Spam folders, please contact your network administrator and ensure that your firm is not blocking noreply@portalmail.cftc.gov.

A CFTC Portal Account has been created on your behalf	
□ NOREPLY@1	
Sent: Wed 8/8/2018 1:25 PM	
To:	
A new	account has been created for you on behalf of .
Username:	
Click the link below to reset your password. If the link does not work, copy and paste the address into a browser.	
Note: CFTC will never request password	information via phone or email, as that information is private and only known by you.
CFTC Portal Accounts and Passwords: Your account will become disabled after 13 months of inactivity. Passwords must be changed every 3 months.	
If you do not recognize this activity, or if	you have questions regarding this correspondence, contact <u>TechSupport@cftc.gov</u> .
This message is being sent to they provide important information abo	in accordance with the Commodity Futures Trading Commission Portal Terms of Use Agreement. You cannot opt out of receiving these email messages as ut your CFTC Portal account. Links to the Terms of Use Agreement and the Portal Privacy Policy may be found at https://portal.cftc.gov/ .
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