

## U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre 1155 21st Street, NW, Washington, DC 20581

## Register for an FCM (Futures Commission Merchants/Reporting Firms) CFTC Portal Account

This document provides an overview of the steps needed to register for an FCM CFTC Portal account.

CFTC does not permit the sharing of Portal accounts. Each user must have an individual account using a first and last name. Please allow up to two business days for new account requests to be reviewed. Contact <a href="mailto:TechSupport@cftc.gov">TechSupport@cftc.gov</a> if you have any questions.

- 1. Select the CFTC Portal Sign In URL: <a href="https://portal.cftc.gov">https://portal.cftc.gov</a>
- 2. Read the CFTC Portal Terms of Use Agreement. To access the Portal, it is necessary to accept the Terms of Use Agreement by selecting [Accept].



## **CFTC PORTAL**

## Warning

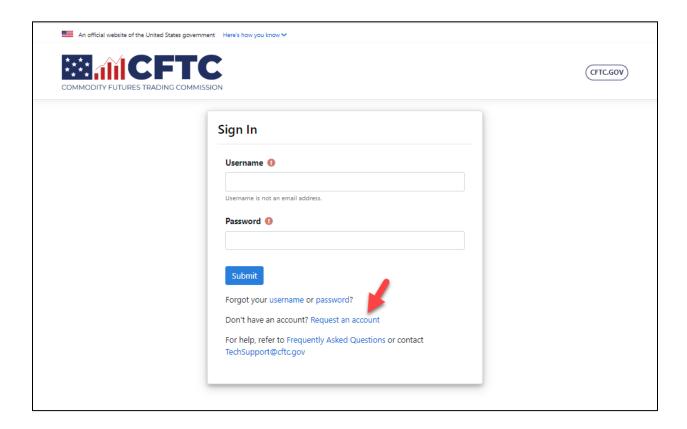
This computer system is a United States Government information system operated by the Commodity Futures Trading Commission ("CFTC"). This system is to be used only for authorized purposes by individuals who have been granted access rights by the CFTC. Misuse of this computer system is a violation of Federal law. See Computer Fraud and Abuse Act of 1986, Pub. L.99-474, 100 Stat. 1213 (1986). Unauthorized access or use of this information system is prohibited and may be subject to criminal, civil and/or administrative action. The Government routinely intercepts and monitors communications and information on this system, for example, to address security vulnerabilities and other risks. All users of this system, whether authorized or unauthorized, are subject to monitoring by the CFTC and other authorized Federal Government officials. Anyone using this system expressly consents to such monitoring. All of the information transmitted or stored on this system may be intercepted, recorded, read, copied, searched, seized and disclosed by and to authorized personnel for official purposes consistent with CFTC policies. Users have no reasonable expectation of privacy when using this system or in any communication or data which is transmitted or stored on this system. Personal information submitted through this system is collected, stored and otherwise processed as stated in the Portal Privacy Policy.

Any information an individual submits to the CFTC must be true and accurate. The making of a false statement to the CFTC may be a basis for administrative action under 7 U.S.C. § 9 and may be punishable by fine or imprisonment, or both, under 7 U.S.C. § 13 or 18 U.S.C. § 1001.

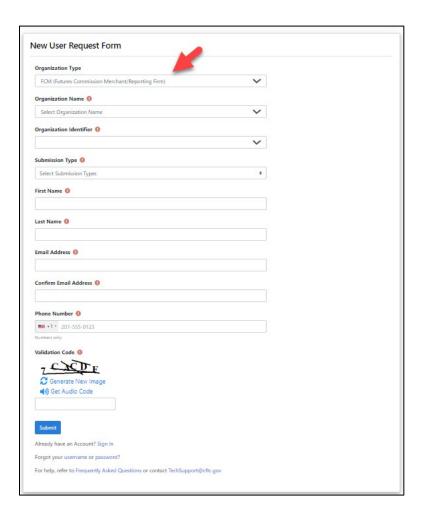
By clicking "Accept", you acknowledge that you have read, understand and consent to the above, to the Terms of Use Agreement and to the processing of your personal information as stated in the Privacy Policy.

Accept

3. On the CFTC Portal "Sign In" page, select the "Request an account" link.

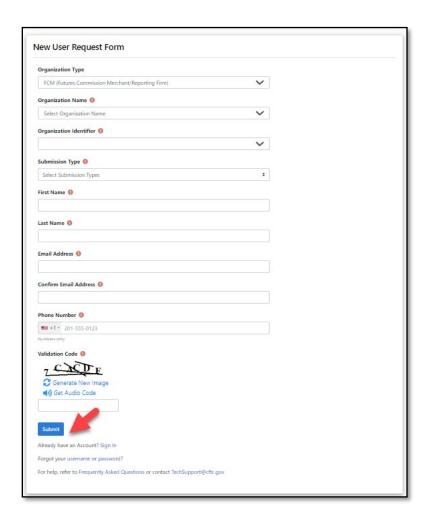


4. Select "FCM (Futures Commission Merchants/Reporting Firms)" from the Organization Type dropdown menu.

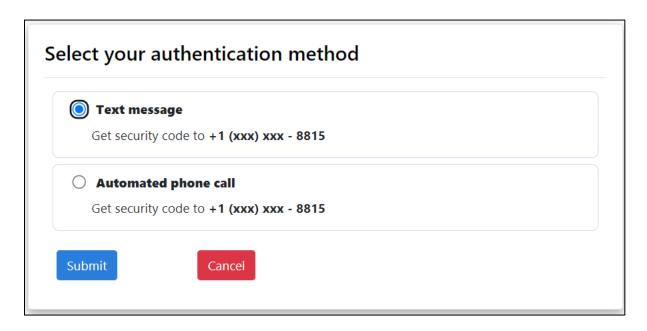


- 5. Select an Organization Name. If the organization is not listed on the dropdown menu, please contact OCRTechSupport@cftc.gov.
- 6. Select an Organization Identifier from the dropdown menu.
- 7. Select one or more Submission Types.
- 8. Complete the form using your First Name, Last Name, Business Email Address, and Phone Number.
- 9. Please use an individual/non-shared email address. Shared email addresses can cause a registration request to be denied. The Portal does not support phone numbers with extensions. Please register using a phone number that does not require the use of an extension.

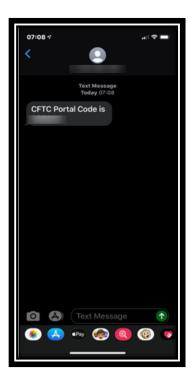
- 10. Choose the appropriate country code and enter a valid phone number .
- 11. Enter the correct Validation Code into the text box and select Submit.



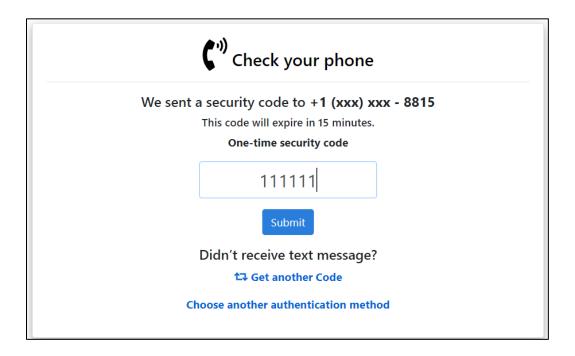
12. Select the authentication method to begin the phone number validation step. In this example we chose Text message.



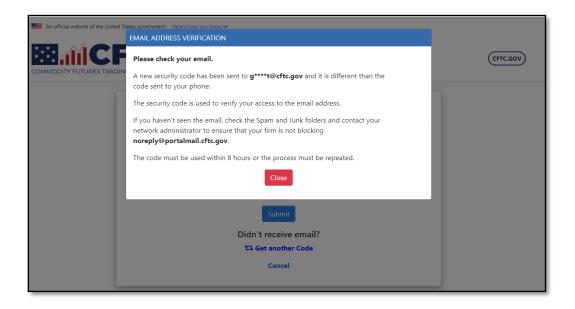
13. After choosing the authentication method the Portal will send a text message or voice call with a six-digit code to the phone number listed on the new registration form. Check the mobile device for the code. In this example the code is 111111.



14. Enter the code into the text box and click Submit.

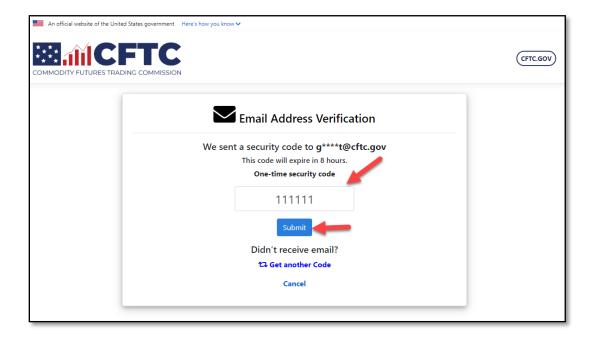


15. When the user selects "Submit" a pop-up box will display 'Email Address Verification', and a new security code will be emailed to the registered email address to authenticate the request. Select "Close" to enter the email verification code.

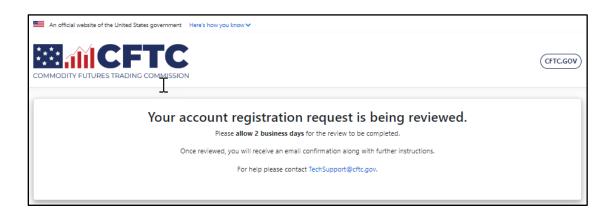


16. When the user opens the email from CFTC, it will have a six-digit code. Enter this code into the textbox. You can copy and paste the code from the email into the textbox. Click Submit when done.

Note: The Email Code will expire within eight (8) hours of receipt of the message. If the code has expired, the process must be repeated.



17. Once successfully authenticated, the page will be redirected to the browser and it will display the message to "Your account registration request is being reviewed".



18. At this point in the process, it may be necessary to wait for up to two business days for account approval by the CFTC business team.

If the account request is approved, an email similar to the one below with the assigned username and instructions for setting a password will be sent. If you do not receive an email, please check your Junk or Spam folders. If you do not see the message in the Junk or Spam folders, please contact your network administrator and ensure that your firm is not blocking <a href="mailto:noreply@portalmail.cftc.gov">noreply@portalmail.cftc.gov</a>.

| A CFTC Portal Account has been created on your behalf  |  |
|--|--|
| □ NOREPLY@1  |  |
| Sent: Wed 8/8/2018 1:25 PM   |  |
| To:  |  |
| and the same of th |  |
| A new  | account has been created for you on behalf of .                                |
| Username:  |  |
| Click the link below to reset your password. If the link does not work, copy and paste the address into a browser.   |  |
| the second second second second  |  |
| Note: CFTC will never request password information   | on via phone or email, as that information is private and only known by you.   |
| CFTC Portal Accounts and Passwords: Your account will become disabled after 13 months of inactivity. Passwords must be changed every 3 months.   |  |
| If you do not recognize this activity, or if you have o  | questions regarding this correspondence, contact <u>TechSupport@cftc.gov</u> . |
| This message is being sent to in accordance with the Commodity Futures Trading Commission Portal Terms of Use Agreement. You cannot opt out of receiving these email messages as they provide important information about your CFTC Portal account. Links to the Terms of Use Agreement and the Portal Privacy Policy may be found at <a href="https://portal.cftc.gov/">https://portal.cftc.gov/</a> .  |  |
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